umber: <u>09/954,45</u>	S3A	Edited by:	Ing Date: 7 3 102
Changed a file from non-ASC	CII to ASCII	Verified by:	(STIC s
Changed the margins in case	es where the sequence text	was "wrapped" down to the	next line.
Edited a format error in the C	Current Application Data sec	tion, specifically:	- HED
Edited the Current Applicatio applicant was the prior a	on Data section with the actuapplication data; or other	ral current number. The nu	mber inputted by the
Added the mandatory headin	ng and subheadings for "Cui	тепt Application Data".	
Edited the "Number of Seque	ences" field. The applicant	spelled out a number instea	d of using an integer.
Changed the spelling of a ma			
Corrected the SEQ ID NO wi	hen obviously incorrect. Th	e sequence numbers that w	vere edited were:
Inserted or corrected a nucle	eic number at the end of a n	ucleic line. SEQ ID NO's e	dited:
Corrected subheading place applicant placed a response	ment. All responses must b below the subheading, this	e on the same line as each was moved to its appropria	subheading. If the te place.
Inserted colons after headin	ngs/subheadings. Headings	edited included: ,	
Deleted extra, invalid, headi	ings used by an applicant, s	pecifically:	
Deleted: non-ASCII *ga	urbage" at the beginning/end rout text;	of files; secretary initiant, such as	als/filename at end of
Inserted mandatory heading	gs, specifically:		
Corrected an obvious error	in the response, specifically	<i>y</i> :	
Edited identifiers where up		ase is required, or vice vers	•
Corrected an error in the N	lumber of Sequences field, s		
A "Hard Page Break" code			
	in amino acid sequences a	nd adjusted the *(A)Length:	field accordingly (em
due to a Patentin bug). Seq	quences corrected:		

^{*}Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.



OIPE

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/954,483A

DATE: 07/03/2002
TIME: 14:06:56

Input Set : A:\PTO.DC.txt

Output Set: N:\CRF3\07032002\I954483A.raw

4 <110> APPLICANT: Siebel, Christian Brennan, Thomas J. 7 <120> TITLE OF INVENTION: METHODS OF PRODUCING CELLS AND ANIMALS COMPRISING TARGETED GENE MODIFICATIONS AND COMPOSITIONS RELATING THERETO 11 <130> FILE REFERENCE: RMES-02 13 <140> CURRENT APPLICATION NUMBER: US 09/954,483A C--> 14 <141> CURRENT FILING DATE: 2002-06-10 16 <150> PRIOR APPLICATION NUMBER: US 60/232,957 17 <151> PRIOR FILING DATE: 2000-09-15 19 <160> NUMBER OF SEQ ID NOS: 14 21 <170> SOFTWARE: FastSEQ for Windows Version 4.0 23 <210> SEQ ID NO: 1 24 <211> LENGTH: 108 25 <212> TYPE: DNA 26 <213> ORGANISM: Artificial Sequence 28 <220> FEATURE: 29 <223> OTHER INFORMATION: Targeting Vector 31 <400> SEQUENCE: 1 32 aaggteetee egaggeeegg eattetegea egetteaaaa gegeaegtet geegegetgt 60 33 totoctotto otcatotocg ggootttoga cotgoagoca atatggga 35 <210> SEQ ID NO: 2 36 <211> LENGTH: 119 37 <212> TYPE: DNA 38 <213> ORGANISM: Artificial Sequence 40 <220> FEATURE: 41 <223> OTHER INFORMATION: Targeting Vector 43 <400> SEQUENCE: 2 44 aaggteetat tgtgageget cacaateeeg geattetege aagetteaaa agegeaegte 60 45 tgccgcgcta ttgtgagcgc tcacaattcc gggcctttcg acctgcagcc aatatggga 119 47 <210> SEQ ID NO: 3 48 <211> LENGTH: 64 49 <212> TYPE: DNA 50 <213> ORGANISM: Artificial Sequence 52 <220> FEATURE: 53 <223> OTHER INFORMATION: Targeting Vector 56 <400> SEQUENCE: 3 57 gaattcacct gccagaccat gccaaaaaag aagagaaagg tcatgaaacc agtaacgtta 60 58 tacq 60 <210> SEQ ID NO: 4 61 <211> LENGTH: 66 62 <212> TYPE: DNA 63 <213> ORGANISM: Artificial Sequence

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RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/954,483A

DATE: 07/03/2002 TIME: 14:06:56

29

18

17

Input Set : A:\PTO.DC.txt

Cutput Set: N:\CRF3\07032002\I954483A.raw

- 65 <220> FEATURE:
- 66 <223> OTHER INFORMATION: Primer
- 68 <400> SEQUENCE: 4
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- 70 tatacg 66
- 72 <210> SEQ ID NO: 5
- 73 <211> LENGTH: 29
- 74 <212> TYPE: DNA
- 75 <213> ORGANISM: Artificial Sequence
- 77 <220> FEATURE:
- 78 <223> OTHER INFORMATION: Primer
- 80 <400> SEQUENCE: 5
- 81 cggaattctc actgcccgct ttccagtcg
- 83 <210> SEQ ID NO: 6
- 84 <211> LENGTH: 75
- 85 <212> TYPE: DNA
- 86 <213> ORGANISM: Artificial Sequence
- 88 <220> FEATURE:
- 89 <223> OTHER INFORMATION: Primer
- 91 <400> SEQUENCE: 6
- 92 gcattetege aagetteaaa agegeaegte tgeegegeta tigtgagege teacaattee 60
- 93 qqqcctttcg acctg
- 95 <210> SEQ ID NO: 7
- 96 <211> LENGTH: 18
- 97 <212> TYPE: DNA
- 98 <213> ORGANISM: Artificial Sequence
- 100 <220> FEATURE:
- 101 <223> OTHER INFORMATION: Primer
- 103 <400> SEQUENCE: 7
- 104 tcatcaattt ctgcagac
- 106 <210> SEQ ID NO: 8
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- 108 <212> TYPE: DNA
- 109 <213> ORGANISM: Artificial Sequence
- 111 <220> FEATURE:
- 112 <223> OTHER INFORMATION: Primer
- 114 <400> SEQUENCE: 8
- 115 tgcgcttttg aagcttgcga gaatgccggg attgtgagcg ctcacaatag gaccttcgcg 60
- 116 cccgcc
- 118 <210> SEQ ID NO: 9
- 119 <211> LENGTH: 17
- 120 <212> TYPE: DNA
- 121 <213> ORGANISM: Artificial Sequence
- 123 <220> FEATURE:
- 124 <223> OTHER INFORMATION: Primer
- 126 <400> SEQUENCE: 9
- 127 caggaaacag ctatgac
- 129 <210> SEQ ID NO: 10
- 130 <211> LENGTH: 26

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/954,483A DATE: 07/03/2002 TIME: 14:06:56

Input Set : A:\PTO.DC.txt

Output Set: N:\CRF3\07032002\1954483A.raw

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146	<223> OTHER INFORMATIO	N: Oligonuc	leotide Pri	mer		
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183	gggggatcat gtaactcgcc	ttgatcgttg	ggaaccggag	ctgaatgaag	ccataccaaa	660
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187	tagaaccagt gagcqtqqqt	ctcgcggtat	cattgcagca	ctggggccag	atggtaagcc	900
188	ctcccgtatc gtagttatct	acacqacqqq	qaqtcaqqca	actatggatg	aacgaaatag	960
189	acagateget gagataggtg	cctcactgat	taagcattgg	taactgtcag	accaagttta	1020
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RAW SEQUENCE LISTING

DATE: 07/03/2002 PATENT APPLICATION: US/09/954,483A TIME: 14:06:56

Input Set : A:\PTO.DC.txt

Output Set: N:\CRF3\07032002\I954483A.raw

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RAW SEQUENCE LISTING DATE: 07/03/2002 PATENT APPLICATION: US/09/954,483A TIME: 14:06:56

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Output Set: N:\CRF3\07032002\I954483A.raw

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248	attaaatctc	gcgccgatca	actgggtgcc	agcgtggtgg	tgtcgatggt	agaacgaagc	4560
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250	atcattaact	atccgctgga	tgaccaggat	gccattgctg	tggaagctgc	ctgcactaat	4680
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259	cacttactac	aactctctca	gggccaggcg	gtgaagggca	atcagctgtt	gcccgtctca	5220
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266	cagaatgagt	atttggttta	gagtttggca	acatatocca	tatqctqqct	gccatgaaca	5640
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20/	tagatagatt	accontagato	gcccgcctgg	ctgaccgcc	aacgaccccc	gcccattgac	180
200	atcast sata	acqtatqttc	ccatagtaac	accaataggg	actttccatt	gacgtcaatg	240
200	guadaatat	ttaccotass	ctgcccactt	gacagtacat	caagtgtatc	atatgccaag	300
230	taggerent	attracretos	atgacggtaa	ataacccacc	tagcattata	cccagtacat	360
291	Ladycocoot	accyacycoa	acgacygida	alggeeegee	cygouccucy	coolingcaoac	500

VERIFICATION SUMMARYDATE: 07/03/2002PATENT APPLICATION: US/09/954,483ATIME: 14:06:57

Input Set : A:\PTO.DC.txt

Output Set: N:\CRF3\07032002\I954483A.raw

L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date



Does Not Comply Corrector Towards Needed

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/954,483A

DATE: 06/17/2002 TIME: 13:48:27

Input Set : A:\PTO.VSK.txt

Output Set: N:\CRF3\06172002\I954483A.raw

- 4 · 110> APPLICANT: Siebel, Christian
- Brennan, Thomas J.
- 7 <120> TITLE OF INVENTION: METHODS OF PRODUCING CELLS AND ANIMALS
- COMPRISING TARGETED GENE MODIFICATIONS AND COMPOSITIONS
- RELATING THERETO
- 11 <130> FILE REFERENCE: RMES-02
- 13 <140> CURRENT APPLICATION NUMBER: US 09/954,483A
- C--> 14 <141> CURRENT FILING DATE: 2002-06-10
 - 16 <150> PRIOR APPLICATION NUMBER: US 60/232,957
 - 17 <151: PRIOR FILING DATE: 2000-09-15
 - 19 <160> NUMBER OF SEQ ID NOS: 14
 - 21 <170> SOFTWARE: FastSEQ for Windows Version 4.0

ERRORED SEQUENCES

- 277 <210> SEQ ID NO: 14
- 278 <211> LENGTH: 5100
- 279 <212> TYPE: DNA
- 280 <213> ORGANISM: Artificial Sequence
- 282 <220> FEATURE:
- 283 <223> OTHER INFORMATION: Construct Sequence
- 285 <400> SEQUENCE: 14
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- 288 tacataactt acggtaaatg gcccgcctgg ctgaccgccc aacgaccccc gcccattgac 180
- 289 gtcaataatg acgtatgttc ccatagtaac gccaataggg actttccatt gacgtcaatg 240
- 290 ggaggagtat ttacggtaaa ctgcccactt ggcagtacat caagtgtatc atatgccaag 300
- 291 tacgcccct attgacgtca atgacggtaa atggcccgcc tggcattatg cccagtacat 360
- 292 gacettacgg gacttteeta ettggeagta eatetacgta ttagteateg etattaceat 420
- 293 ggttcgaggt gagccccacg ttctgcttca ctctccccat ctccccccc tccccacccc 480
- 294 caattttgta tttatttatt ttttaattat tttgtgcagc gatggggggg ggggggggg 540
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- 296 gcggcggcag ccaatcagag cggcgcgctc cgaaagtttc cttttatggc gaggcggcgg 660
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- 298 eccegtgeec egeteegege egectegege egecegeece ggetetgaet gaeegegtta 780
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- 305 gggcgcggcg gtcgggctgt aaccccccc tgcacccccc gccccgagtt gctgagcacg 1200

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/954,483A

DATE: 06/17/2002 TIME: 13:48:27

Input Set : A:\PTO.VSK.txt

Output Set: N:\CRF3\06172002\I954483A.raw

306 gcccggcttc gggtgcgggg ctccgtgcgg ggcgtggcgc ggggctcgcc gtgccgggcg 1260 307 gggggtggcg gcaggtgggg gtgccgggcg gggcggggcc gcctcgggcc ggggagggct 1320 308 cgggggaggg gcgcggcggc cccggagcgc cggcggctgt cgaggcgcgg cgagccgcag 1380 309 ccattgcctt ttatggtaat cgtgcgagag ggcgcaggga cttcctttgt cccaaatctg 1440 310 geggageega aatetgggag gegeegeege acceeteta gegggegegg gegaageggt 1500 311 geggegeegg eaggaaggaa atgggegggg agggeetteg tgegtegeeg egeegeegte 1560 312 coetteteca tetecageet eggggetgee geagggggae ggetgeette gggggggaeg 1620 313 gggcagggcg gggttcggct tctggcgtgt gaccggcggc tctagagcct ctgctaacca 1680 314 tgttcatgcc ttcttctttt tcctacagct cctgggcaac gtgctggttg ttgtgctgtc 1740 315 tcatcatttt ggcaaagaat tcgccaccat ggtgagcaag ggcgaggagc tgttcaccgg 1800 316 ggtggtgccc atcctggtcg agctggacgg cgacgtaaac ggccacaagt tcagcgtgtc 1860 317 cggcgagggc gagggcgatg ccacctacgg caagctgacc ctgaagttca tctgcaccac 1920 318 eggeaagetg eeegtgeeet ggeeeaceet egtgaeeace etgaeetaeg gegtgeagtg 1980 319 etteageege taeceegaee acatgaagea geaegaette tteaagteeg ceatgeeega 2040320 aggetacgte caggagegea ceatettett caaggaegae ggeaactaca agaeeegege 2100321 cgaggtgaag ttcgagggcg acaccctggt gaaccgcatc gagctgaagg gcatcgactt 2160 322 caaggaggac ggcaacatee tggggcacaa getggagtae aactacaaca gecacaacgt 2220323 ctatatcatg geogacaage agaagaacgg catcaaggtg aactteaaga teegecacaa 2280 324 categaggae ggeagegtge agetegeega eeactaceag cagaacaeee ceateggega 2340 325 eggeeeegtg etgetgeeeg acaaceaeta eetgageaee eagteegeee tgageaaaga 2400 326 occeaacgag aagogogate acatggteet getggagtte gtgaeegeeg eegggateae 2460 327 totoggoatg gacgagotgt acaagtaaga attoactoot caggtgoagg otgoctatoa 2520328 gaaggtggtg gctggtgtgg ccaatgccct ggctcacaaa taccactgag atcttttcc 2580 329 ctctgccaaa aattatgggg acatcatgaa gccccttgag catctgactt ctggctaata 2640 330 aaggaaattt attttcattg caatagtgtg ttggaatttt ttgtgtctct cactcggaag 2700 331 gacatatggg agggcaaatc atttaaaaca tcagaatgag tatttggttt agagtttggc 2760 332 aacatatgcc atatgctggc tgccatgaac aaaggtggct ataaagaggt catcagtata 2820 333 tgaaacagcc ccctgctgtc cattccttat tccatagaaa agccttgact tgaggttaga 2880 334 tttttttat attttgtttt gtgttatttt tttctttaac atccctaaaa ttttccttac 2940 335 atgttttact agccagattt ttcctcctct cctgactact cccagtcata gctgtccctc 3000 336 ttetettatg aagateeete gaeetgeage eeaagetegg ggeeaggteg geegagegat 3060 337 cgcgagaatt cggcttaagt gagtcgtatt acggactggc cgtcgtttta caacgtcgtg 3120 338 actgggaaaa ccctggcqtt acccaactta atcgccttgc agcacatccc cctttcgcca 3180 339 gctggcgtaa tagcgaagag gcccgcaccg atcgcccttc ccaacagttg cgcagcctga 3240 340 atggcgaatg gcgcttcgct tggtaataaa gcccgcttcg gcgggctttt tttttggttaa 3300 341 ctacgtcagg tggcactttt cggggaaatg tgcgcggaac ccctatttgt ttattttct 3360 342 aaatacattc aaatatgtat ccgctcatga gacaataacc ctgataaatg cttcaataat 3420 343 attgaaaaag gaagagtatg agtattcaac atttccgtgt cgcccttatt cccttttttg 3480 344 cggcattttg ccttcctgtt tttgctcacc cagaaacgct ggtgaaagta aaagatgctg 3540 345 aagatcagtt gggtgcacga gtgggttaca tcgaactgga tctcaacagc ggtaagatcc 3600 346 ttgagagttt tcgccccgaa gaacgttctc caatgatgag cacttttaaa gttctgctat 3660 347 gtggcgcggt attatecegt gttgacgccg ggcaagagca acteggtege egeatacaet 3720 348 attotoagaa tgacttggtt gagtactcac cagtcacaga aaagcatctt acggatggca 3780 349 tgacagtaag agaattatgc agtgctgcca taaccatgag tgataacact gcggccaact 3840 350 tacttctgac aacgatcgga ggaccgaagg agctaaccgc ttttttgcac aacatggggg 3900 351 atcatgtaac tegeettgat egttgggaac eggagetgaa tgaageeata eeaaacgaeg 3960 352 agegtgacae caegatgeet gtageaatgg caacaaegtt gegeaaacta ttaaetggeg 4020 353 aactacttac tctagcttcc cggcaacaat taatagactg gatggaggcg gataaagttg 4080 354 caggaccact tetgegeteg geeetteegg etggetggtt tattgetgat aaatetggag 4140

RAW SEOUENCE LISTING

PATENT APPLICATION: US/09/954,483A

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355 ccggtgageg tgggtctcgc ggtatcattg cagcactggg gccagatggt aagccctccc 4200
356 gtatcgtagt tatctacacg acggggagtc aggcaactat ggatgaacga aatagacaga 4260
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358 atatacttta gattgattta ccccggttga taatcagaaa agccccaaaa acaggaagat 4380
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366 egegtaacca ecageacege egegettaat gegeegetae agggegegta aaaggateta 4860
367 ggtgaagate etttttgata ateteatgae caaaateeet taaegtgagt tttegtteea 4920
368 ctgagcgtca gaccccgtag aaaagatcaa aggatcttct tgagatcctt tttttctgcg 4980
369 cgtaatctgc tgcttgcaaa caaaaaaacc accgctacca gcggtggttt gtttgccgga 5040
370 tcaagagcta ccaactcttt ttccgaaggt aactggcttc agcagagcgc agataccaaa 5100
```

VERIFICATION SUMMARY

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L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:372 M:254 E: No. of Bases conflict, this line has no nucleotides.

M:254 Repeated in SeqNo=14